

Docket No. 242768US90CONT



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Yasutaka ITO, et al.

SERIAL NO: 10/663,681

GAU: 2811

FILED: September 17, 2003

EXAMINER:

FOR: SEMICONDUCTOR PRODUCTION DEVICE CERAMIC PLATE

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**


- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
\_\_\_\_\_  
Norman F. Oblon  
Registration No. 24,618

Customer Number

**22850**

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

**Roland E. Martin**  
**Registration No. 48,082**

Docket No. 242768US90CONT



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Yasutaka ITO, et al.

SERIAL NO: 10/663,681

GAU: 2811

FILED: September 17, 2003

EXAMINER:

FOR: SEMICONDUCTOR PRODUCTION DEVICE CERAMIC PLATE

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

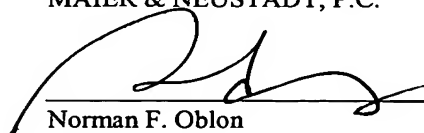
- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



Norman F. Oblon

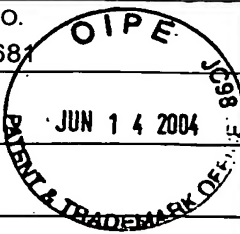
Registration No. 24,618

Customer Number

**22850**

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

**Roland E. Martin**  
Registration No. 48,082

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. <b>242768US90CONT</b>		SERIAL NO. <b>10/663,681</b>	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT <b>Yasutaka ITO, et al.</b>			
				FILING DATE <b>September 17, 2003</b>		GROUP <b>2811</b>	
							
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	US 2002/0043530	04-18-02	ITO			
	AB	US 2002/0043527	04-18-02	ITO			
	AC	US 2003/0015521	01-23-03	ITO			
	AD	6,475,606	11-05-02	NIWA			
	AE	6,507,006	01-14-03	HIRAMATSU et al.			
	AF	6,465,763	10-15-02	ITO et al.			
	AG	6,677,557	01-13-04	ITO et al.			
	AH	6,731,496	05-04-04	HIRAMATSU et al.			
	AI	6,710,307	03-23-04	ITO et al.			
	AJ						
	AK						
	AL						
	AM						
	AN						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
					YES	NO	
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



**LIST OF RELATED CASES**

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Inventor/ Applicant</u>
237386US90	6,475,606	11/05/02	NIWA
211598US2 PCT	09/869,321	10/18/01	ZHOU
209243US0 PCT	6,507,006	01/14/03	HIRAMATSU, et al.
212814US3 PCT	09/926,012	10/24/01	HIRAMATSU, et al.
213163US2 PCT	09/926,092	12/27/01	ITO, et al.
212145US0 PCT	6,465,763	10/15/02	ITO, et al.
214810US90 PCT	09/926,296	12/27/01	ITO, et al.
214768US90 PCT	09/926,297	12/26/01	HIRAMATSU, et al.
215811US0 PCT	09/926,464	01/10/02	HIRAMATSU, et al.
215899US2 PCT	09/926,499	03/26/02	HIRAMATSU, et al.
216723US2 PCT	09/926,713	03/05/02	HIRAMATSU
216714US2 PCT	09/926,714	03/05/02	ITO, et al.
217562US2 PCT	09/926,800	03/19/02	HIRAMATSU, et al.
216926US2 PCT	10/009,174	04/21/03	HIRAMATSU, et al.
217856US3 PCT	6,677,557	01/13/04	ITO, et al.
217960US2 PCT	6,731,496	05/04/04	HIRAMATSU, et al.
217827US2 PCT	10/019,444	04/23/02	HIRAMATSU, et al.
217551US2 PCT	10/019,448	04/08/02	ITO, et al.
218478US2 PCT	10/030,123	05/16/02	HIRAMATSU, et al.
219421US2 PCT	10/048,490	04/30/02	ITO, et al.
219574US2 PCT	10/048,979	04/26/02	HIRAMATSU, et al.

\*Present Application; listed for information  
NFO/wal

220254US2 PCT	10/069,510	06/20/02	HIRAMATSU, et al.
220253US2 PCT	10/069,511	06/24/02	ITO, et al.
221015US2 PCT	10/070,441	06/26/02	ITO, et al.
221014US2 PCT	10/070,443	06/24/02	HIRAMATSU, et al.
221158US2 PCT	10/088,098	05/30/02	ITO, et al.
222661US3 PCT	10/111,980	06/27/02	ITO, et al.
221689US0 DIV	6,710,307	03/23/04	ITO, et al.
226831US0 CIP	10/226,160	08/23/02	ITO, et al.
226886US0 CIP	10/229,177	08/28/02	HIRAMATSU et al.
241064US90 CONT	10/244,008	09/16/02	NIWA
237387US90 CONT	10/260,360	10/01/02	NIWA
231910US90 PCT	10/311,368	05/09/03	ITO, et al.
233544US90 PCT	10/343,747	08/27/03	ITO, et al.
233937US90 PCT	10/343,833	09/22/03	ZHOU, et al.
233936US90 PCT	10/344,148	07/29/03	KARIYA, et al.
232736US0 CONT	10/346,095	01/17/03	ITO, et al.
232028US3 DIV	10/352,138	01/28/03	HIRAMATSU, et al.
240514US90 PCT	10/362,941	04/28/03	ITO
234749US90 PCT	10/363,310	03/03/03	ITO
239930US90 PCT	10/380,327	05/08/03	HIRAMATSU et al.
234652US90 CONT	10/386,615	03/13/03	HIRAMATSU, et al.
235401US90 CONT	10/397,321	03/27/03	HIRAMATSU, et al.
236147US90 PCT	10/398,393	01/21/04	HIRAMATSU, et al.
237250US90 CONT	10/442,967	05/22/03	HIRAMATSU, et al.
243468US90 PCT	10/473,585	02/13/04	ITO

\*Present Application; listed for information  
NFO/wal

237457US90 CONT	10/615,950	07/10/03	HIRAMATSU, et al.
238750US90 CONT	10/618,623	07/15/03	ITO, et al.
238745US90 CONT	10/618,651	07/15/03	ITO, et al.
238755US90 CONT	10/618,655	07/15/03	ITO, et al.
238761US90 CONT	10/618,665	07/15/03	ITO, et al.
240245US3 CONT	10/619,567	07/16/03	HIRAMATSU, et al.
242402US90 CONT	10/658,454	09/10/03	ITO, et al.
241191US90 CONT	10/670,354	09/26/03	NIWA
244440US90 CONT	10/697,287	10/31/03	ITO, et al.
245199US90 CONT	10/718,535	11/24/03	HIRAMATSU, et al.
PER CLIENT	09/917,749	07/31/01	ITO
PER CLIENT	10/345,356	01/16/03	ITO
PER CLIENT	09/915,418	07/27/01	ITO
PER CLIENT	10/356,519	02/03/03	ITO
PER CLIENT	10/217,029	08/13/02	ITO
PER CLIENT	09/916,682	07/30/01	ITO
PER CLIENT	10/222,928	08/19/02	ITO
PER CLIENT	10/182,009	07/24/02	ZHOU
PER CLIENT	09/958,689	10/10/01	FURUKAWA, et al.
PER CLIENT	09/926,730	04/16/01	HIRAMATSU, et al.
PER CLIENT	10/265,413	10/07/02	OHASHI, et al.
PER CLIENT	09/880,742	06/13/01	SAITO, et al.
PER CLIENT	09/880,359	06/13/01	SAITO, et al.
PER CLIENT	09/880,379	06/13/01	SAITO, et al.
242768US90 CONT*	10/663,681	09/17/03	ITO, et al.

\*Present Application; listed for information  
NFO/wal